

# *Software Verification*

ETH Zurich, September-December 2010

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Proof-Carrying Code

&

Proof-Transforming Compilation

# Overview

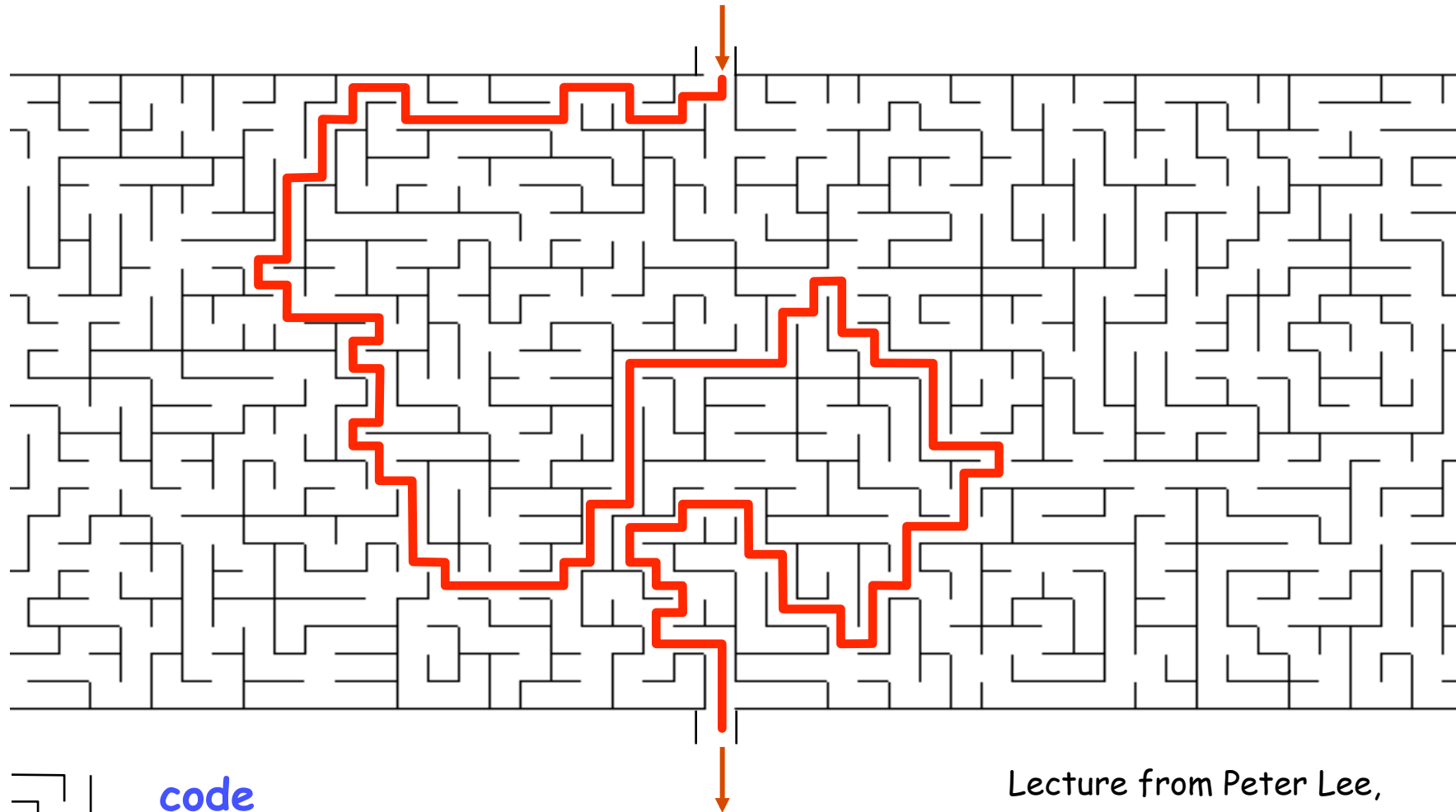
- Proof-Carrying Code
- Proof-Transforming Compilation
  - Semantics for Java and Eiffel
  - A Hoare-style logic for Bytecode
  - Proof Translation


# Mobile Code



How to verify mobile code?

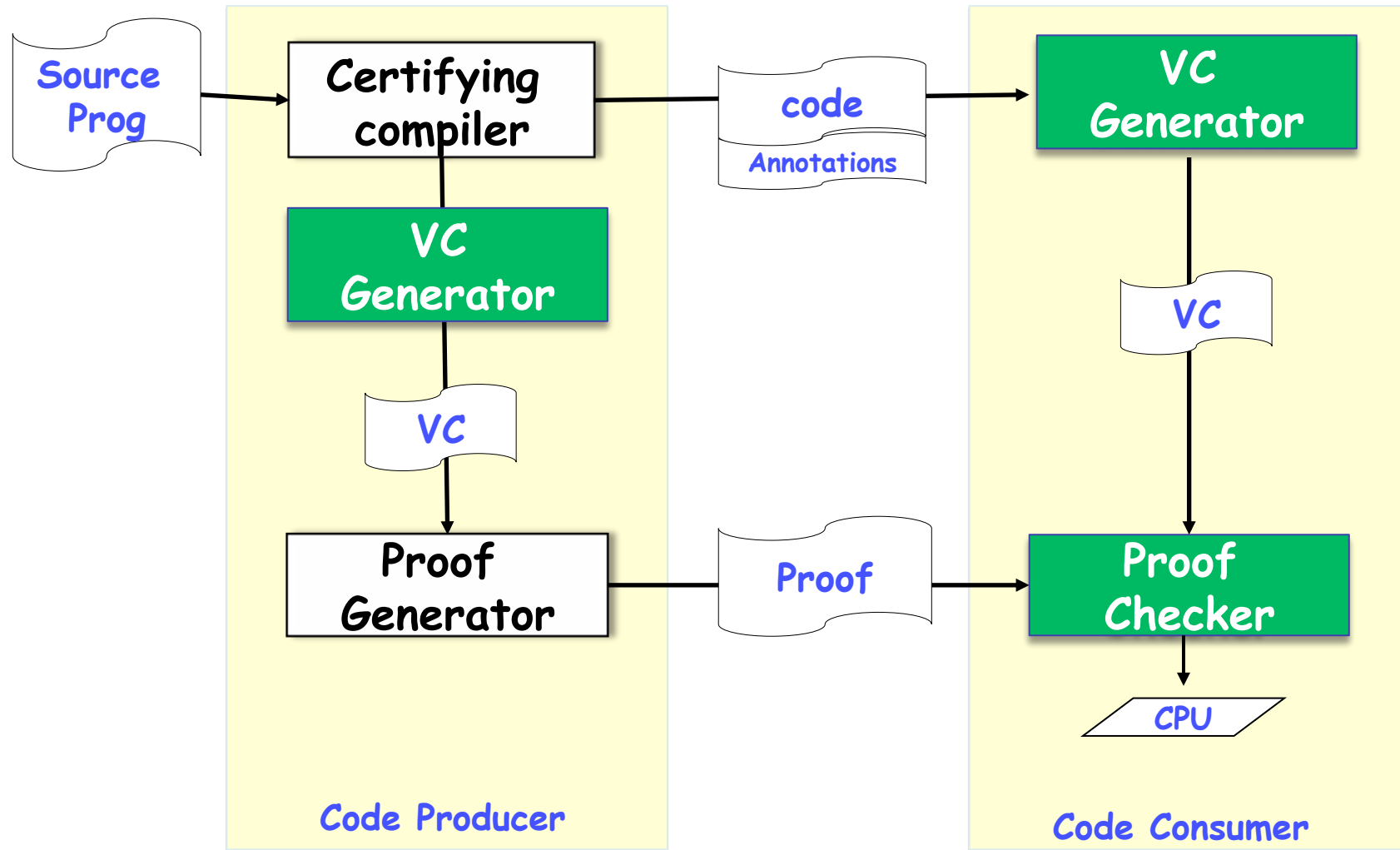
# Proof-Carrying Code



 code  
 proof

Lecture from Peter Lee,  
2003, University of Oregon

# Proof-Carrying Code



# What do we gain?

The process of checking the proof is fast and automatic

There is no loss of performance in the bytecode program

The overhead of developing the proof is done once and for all by the code producer

The code consumer does not need to trust the code producer

# Limitations

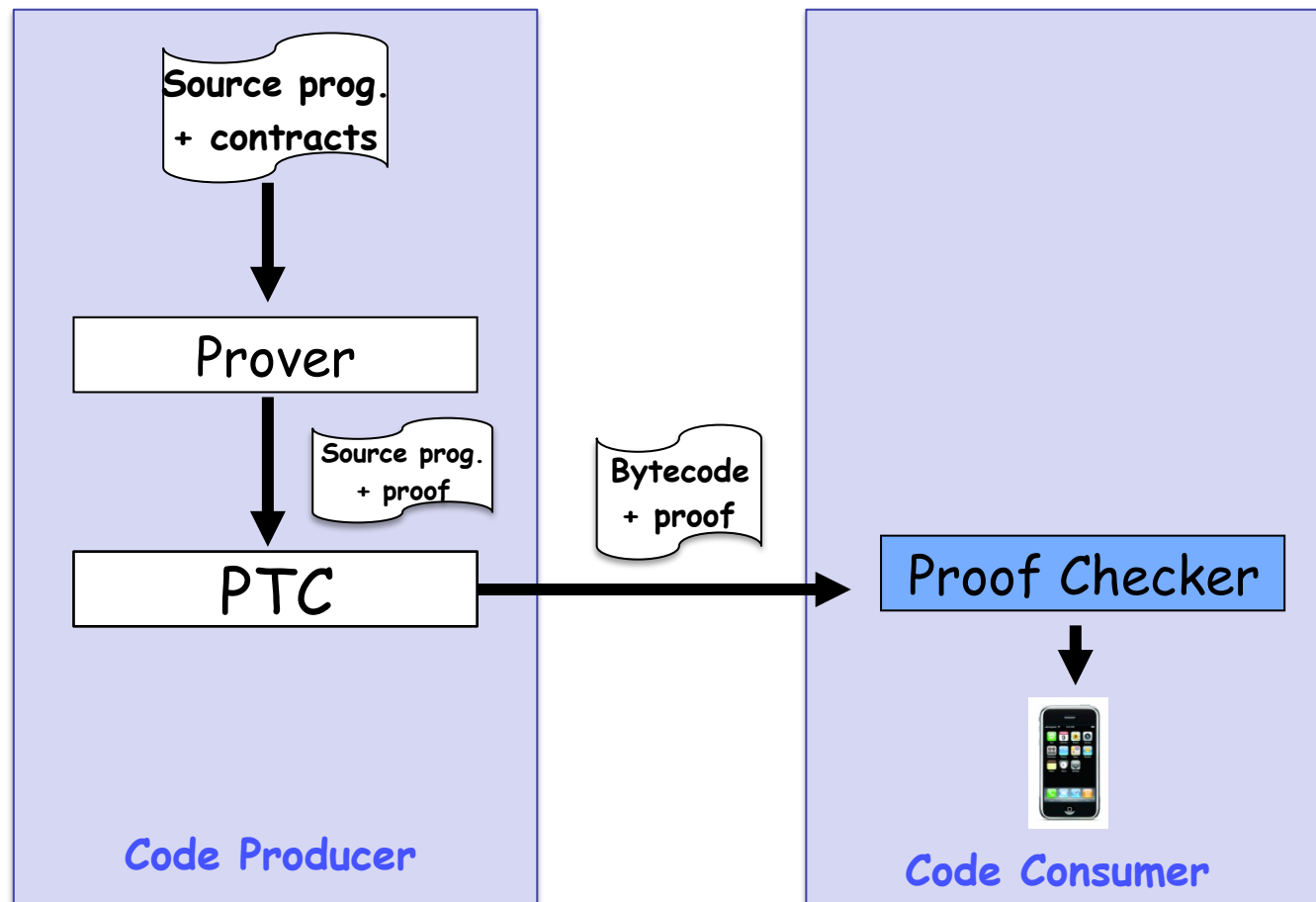
Proofs are big

Good for safety but not yet termination

Certifying compilers can generate proof automatically only for a restricted set of properties

In Lee and Necula's implementation, they consider machine code... portability?

# Verification Process based on Proof-Transforming Compilation (PTC)



 untrusted tool       trusted tool



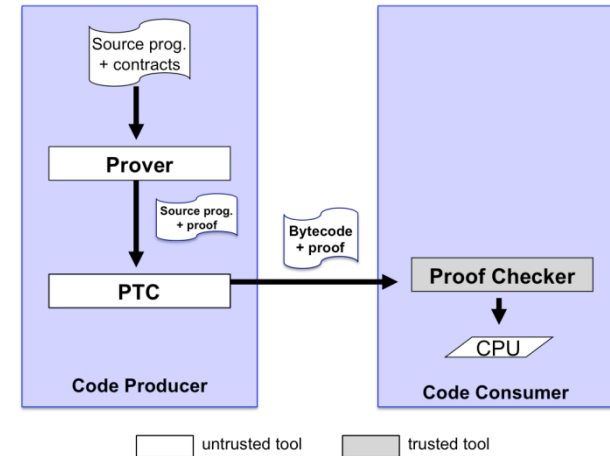
# Advantages

Verification of functional properties

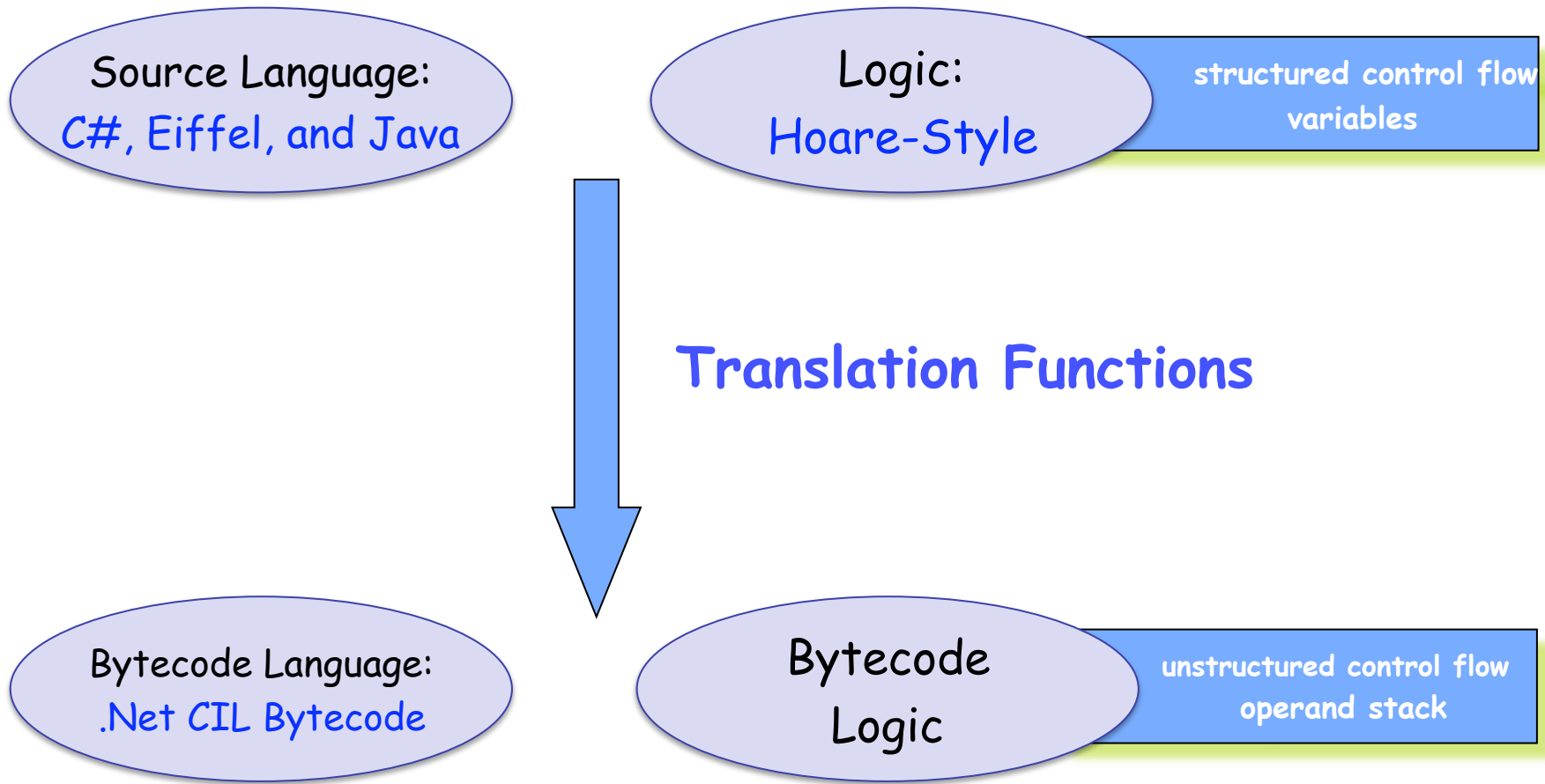
PTCs are not part of the trusted computing base

Small trusted computing base: Proof Checker

Verification on the source language



# Basics of our PTC



# Overview

- Proof-Carrying Code
- Proof-Transforming Compilation
  - **Semantics for Java and Eiffel**
  - A Hoare-style logic for Bytecode
  - Proof Translation

# The Subset of Java

## Assignment and compound

```
foo () {  
    int b=1;  
    b++;  
} b = 2
```

## Try-finally and throw

```
foo () {  
    int b=1;  
    try {  
        throw new Exception ();  
    }  
    finally {  
        b++;  
    }  
} b = 2    Exception
```

## While and break

```
foo () {  
    int b=1;  
    while (true) {  
        b++;  
        break ;  
    }  
} b = 2
```

## Other features:

**Try-catch**

**If then else**

**Read and write fields**

**Routine invocation**

**Single inheritance**

# Why is this Subset of Java interesting?

```
foo () {  
    int b=1;  
    b++;  
}  
  
foo () {  
    int b=1;  
    while (true) {  
        b++;  
        break;  
    }  
}  
  
foo () {  
    int b=1;  
    try {  
        throw new Exception();  
    }  
    finally {  
        b++;  
    }  
}
```

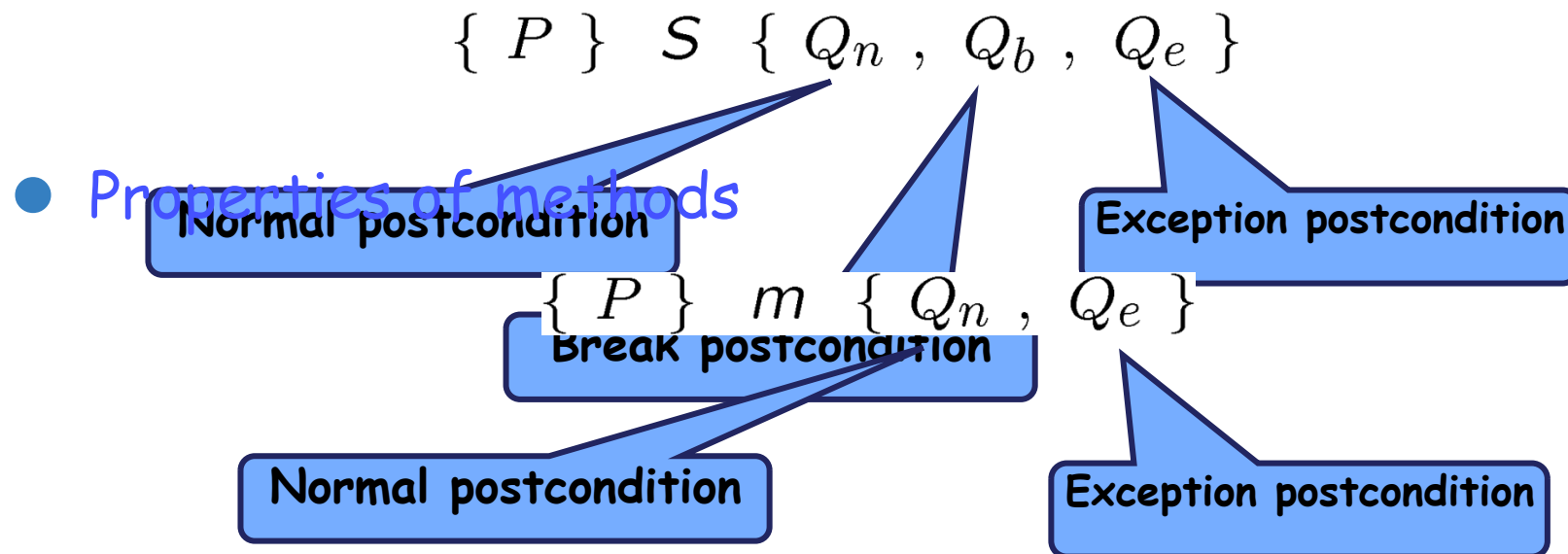
# Why is this Subset of Java interesting?

```
foo () {  
    int b=1; b = 1  
    while (true) {  
        try {  
            b++; b = 2  
            throw new Exception (); b = 2  
        }  
        finally {  
            b++; b = 3  
            break; b = 3  
        }  
    }  
    b++; b = 4  
}
```

**Does this program compile in C#?**

# Semantics for Java

- Operational and axiomatic semantics
- The logic is based on the programming logic developed by P. Müller and A. Poetzsch-Heffter
- Properties of method bodies are expressed by Hoare triples of the form



# The subset of Eiffel

Basic instructions such as assignments, if then else, and loops

Exception handling: rescue clauses

Once routines

Multiple inheritance



# Eiffel: Exception Handling

```
connect_to_server
  --Connect to Madrid, York, or Zurich.
  local
    i: INTEGER
  do
    if i = 0 then connect_to_madrid end
    if i = 1 then connect_to_york end
    if i = 2 then connect_to_zurich end
  rescue
    if i < 3 then
      i := i + 1
      Retry := True
    else
      failed := True
    end
  end
end
```

# Eiffel: Once Functions

```
f (i: INTEGER): INTEGER  
  once  
    Result := i + 1  
  end
```

**j := f (2)**

**{ j = 3 }**

**k := f (4)**

**{ j = 3 and k = 3 }**

# Semantics for Eiffel

Operational and axiomatic semantics

Based on the logic by P. Müller and A. Poetzsch-Heffter

Properties of routines and routine bodies are expressed by Hoare triples of the form

$$\{ P \} S \{ Q_n , Q_e \}$$

Normal postcondition

Exception postcondition

Proof of soundness and completeness

# Logic: Assignment Rule

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$$\frac{\{ \begin{array}{l} (\text{safe}(e) \wedge P[e/x]) \vee \\ (\neg \text{safe}(e) \wedge Q_e) \end{array} \}}{x := e \{ P, Q_e \}}$$

# Logic: Compound

$$\frac{\{ P \} \quad s_1 \quad \{ ??? , ??? \} \quad \{ ??? \} \quad s_2 \quad \{ ??? , ??? \}}{\{ P \} \quad s_1; s_2 \quad \{ ??? , ??? \}}$$

# Example

## Assignment Rule

$$\{ true \} \text{ balance} := b \ \backslash \backslash \ i \ \left\{ i \neq 0 \wedge \text{balance} = b \ \backslash \backslash \ i \wedge , \ i = 0 \right\}$$

## Assignment Rule

$$\{ i \neq 0 \wedge \text{balance} = b \ \backslash \backslash \ i \} \text{ credit} := b + 10 \ \left\{ \begin{array}{l} i \neq 0 \wedge \text{balance} = b \ \backslash \backslash \ i \wedge , \ \text{false} \\ \text{credit} = b + 10 \end{array} \right\}$$

---

## Compound Rule

$$\{ true \} \text{ balance} := b \ \backslash \backslash \ i ; \text{ credit} := b + 10 \ \left\{ \begin{array}{l} i \neq 0 \wedge \text{balance} = b \ \backslash \backslash \ i \wedge , \ i = 0 \\ \text{credit} = b + 10 \end{array} \right\}$$

# Rescue

"Retry invariant"

$$P \Rightarrow P'$$

$$\{INV \wedge P'\} \quad b \quad \{INV \wedge Q, Q'\}$$

$$\{Q'\} \quad r \quad \{INV \wedge (Retry \Rightarrow P') \wedge (\neg Retry \Rightarrow R), INV \wedge R\}$$

---

$$\{INV \wedge P\} \quad \text{do } b \text{ rescue } r \text{ end } \{INV \wedge Q, INV \wedge R\}$$

Normal postcondition

Error postcondition

# Example: rescue

```
safe_division (x,y: INTEGER): INTEGER
  local
    z: INTEGER
  do
    Result := x // (y+z)
  ensure
    y = 0 implies Result = x
    y /= 0 implies Result = x // y
  rescue
    z := 1
    Retry := true
  end
```

$\{ true \} \text{ MATH:safe\_division } \{ Q , false \}$

where

$Q \equiv (y = 0 \Rightarrow Result = x) \wedge (y \neq 0 \Rightarrow Result = x // y)$



# Example: rescue

```
safe_division (x,y: INTEGER): INTEGER
  local
    z: INTEGER
  do
    { (y ≠ 0 ∧ z = 0) ∨ (y = 0 ∧ (z = 1 ∨ z = 0)) }
    Result := x // (y+z)
    { ( (y = 0 ⇒ Result = x) ∧ (y ≠ 0 ⇒ Result = x/y) ), (y = 0 ∧ z = 0) }
  ensure
    y = 0 implies Result = x
    y /= 0 implies Result = x // y
  rescue
    { y = 0 ∧ z = 0 }
    z := 1
    { (y = 0 ∧ z = 1), false }
    Retry := true
    { (Retry ∧ (y = 0 ∧ z = 1)), false }
  end
```

*Retry invariant*

$$(y \neq 0 \wedge z = 0) \vee (y = 0 \wedge (z = 1 \vee z = 0))$$

# Overview

- Proof-Carrying Code
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  - **A Hoare-style logic for Bytecode**
  - Proof Translation

# The bytecode Language

Bytecode language similar to .Net CIL bytecode

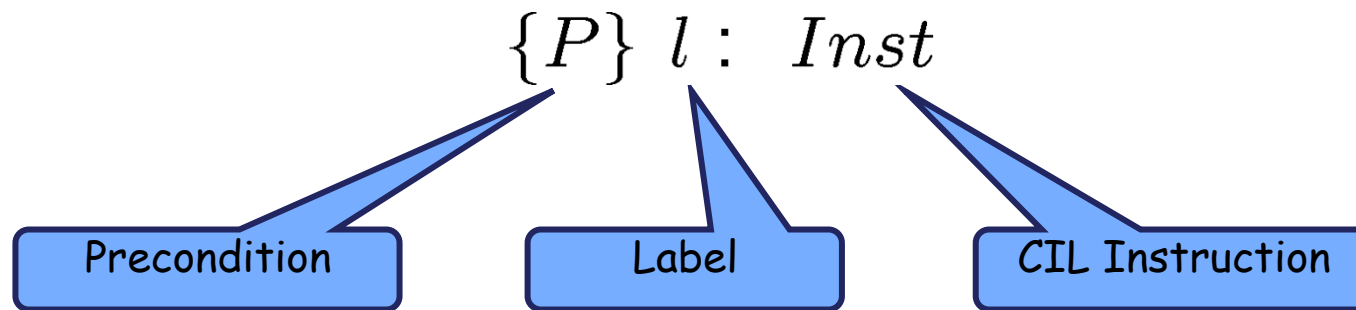
Boolean type

Instead of using an array of local variables like in .Net CIL, we use the name of the source variable

```
bytecodeInstr ::= pushc v
                | pushv x
                | pop x
                | opop
                | goto l
                | brtrue l
                | nop
                | athrow
```

# The Bytecode Language and its Logic

- Bytecode Logic:
  - Logic developed by F. Bannwart and P. Müller
  - Instruction specification



# The bytecode Logic

## Rules for instructions

$$\frac{E_l \Rightarrow wp_p^1(I_l)}{A \vdash \{E_l\} \ l : I_l}$$

# The bytecode Logic

$I_l$	$wp_p^1(I_l)$
pushc v	$unshift(E_{l+1}[v/s(0)])$
pushv x	$unshift(E_{l+1}[x/s(0)])$
pop x	$(shift(E_{l+1}))[s(0)/x]$
$bin_{op}$	$(shift(E_{l+1}))[s(1)ops(0)/s(1)]$
goto $l'$	$E_{l'}$
brtrue $l'$	$(\neg s(0) \Rightarrow shift(E_{l+1})) \wedge (s(0) \Rightarrow shift(E_{l'}))$
return	true
nop	$E_{l+1}$

$$\begin{aligned}
 shift(E) &= E[s(i+1)/s(i) \text{ for all } i \in \mathbb{N}] \\
 unshift &= shift^{-1}
 \end{aligned}$$

# Example Bytecode Proof

Source Program:

$x := 5$

$y := 1$

Compiled Program:

L00: push 5

L01: pop x

L02: push 1

L03: pop y

# Overview

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  - **Proof Translation**



# Proof-Transforming Compilation for Eiffel

## Contract Translator

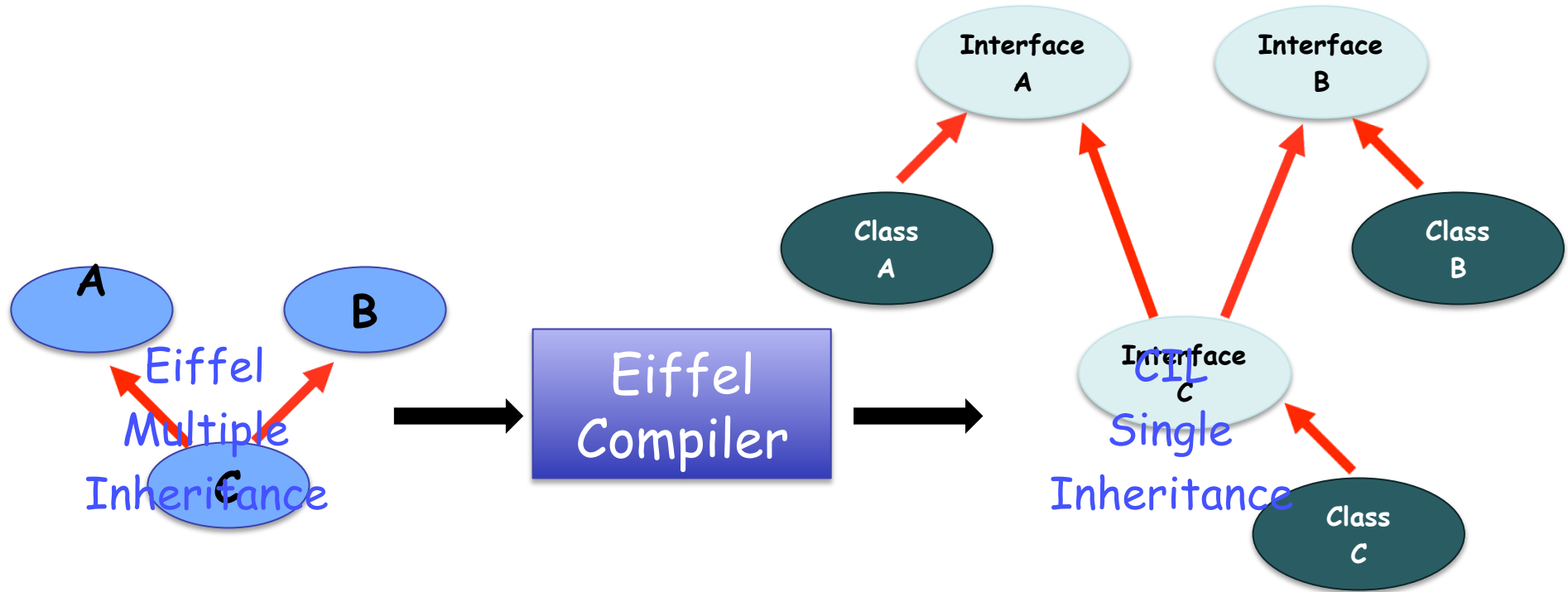
- Deep embedding of contracts, pre- and postconditions
- Translation functions
  - Input: Deep embedding of Boolean expressions
  - Output: First Order Logic

$\nabla_E : \textit{Precondition} \times \textit{Expression} \times \textit{Postcondition} \times \textit{Label} \rightarrow \textit{BytecodeProof}$

$\nabla_S : \textit{ProofTree} \times \textit{Label} \times \textit{Label} \times \textit{Label} \rightarrow \textit{BytecodeProof}$

- Soundness Proof

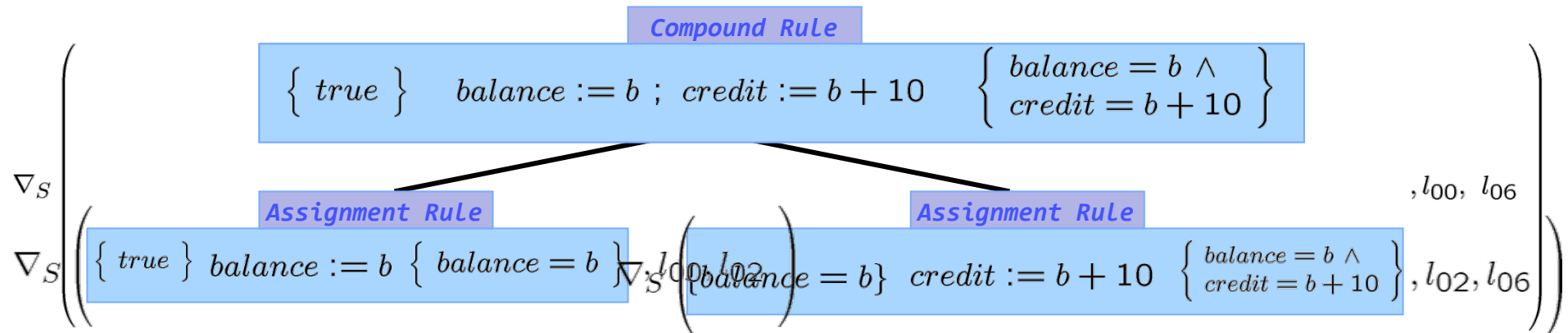
# Compiling Eiffel to .Net CIL



↑ Inheritance  Eiffel class

 CIL interface  CIL class

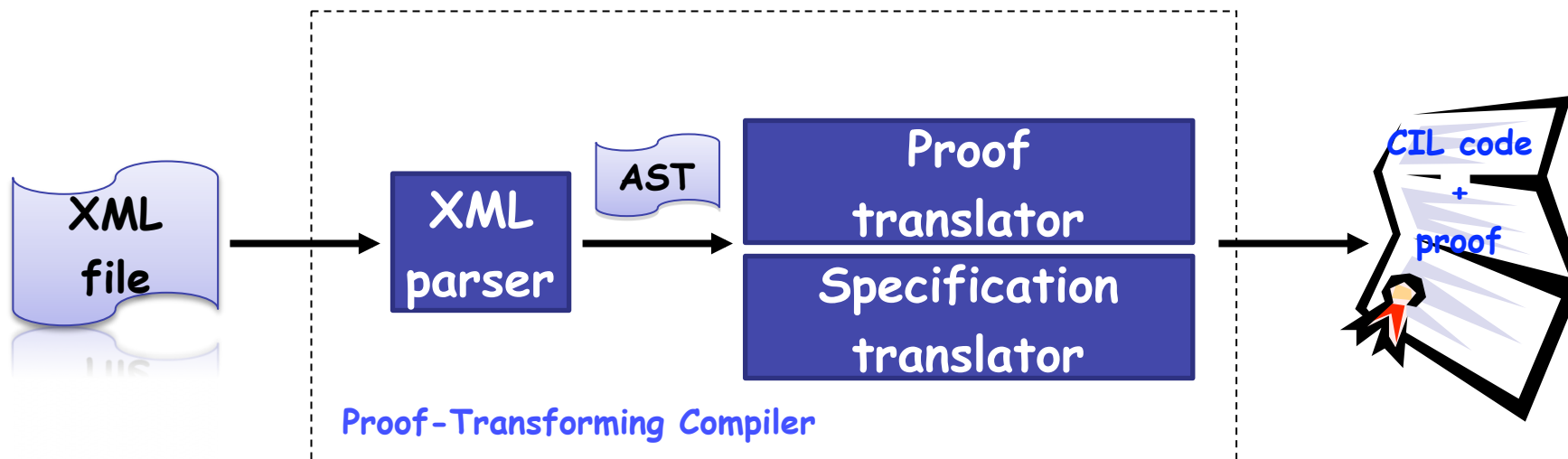
# Applications



## CIL proof:

$$\begin{aligned}
 & \forall_{E, l_{00}} (true, l_{00}, l_{06} \vdash (s(0) = b)) \\
 & \{ s(0) = b \} \quad l_{01} : \text{stloc } balance \\
 & \quad \forall_{E, l_{02}} \left( \begin{array}{l} \{ balance = b \} \quad l_{02} : \text{ldc } b \\ \{ balance = b, b + 10, s(0) = b + 10 \} \end{array} \right) \\
 & \quad \forall_{E, l_{03}} \left( \left( \{ balance = b \wedge s(0) = b \}, l_{03} : \text{ldc } 10, \{ balance = b \wedge s(1) = b \wedge s(0) = 10 \} \right) \right) \\
 & \{ balance = b \wedge s(1) = b \wedge s(0) = 10 \} \quad l_{04} : \text{add} \\
 & \{ balance = b \wedge s(0) = b + 10 \} \quad l_{05} : \text{stloc } credit
 \end{aligned}$$

# Tool Support



# Experiments with PTC

Example	#Classes	#Routines	#lines Eiffel	#lines source proof
Boolean expressions	2	3	76	205
Attributes	3	5	83	167
Conditionals	1	2	55	154
Loops	1	1	31	73
Bank Account simple	1	3	57	108
Bank Account	1	5	57	130
Sum Integers	1	1	35	126
Subtyping	3	5	41	117
Demo	4	8	152	483
<b>Total</b>	<b>17</b>	<b>33</b>	<b>587</b>	<b>1563</b>

# Size of the proof

Example	#lines Eiffel	#lines source proof	#lines in Isabelle
Boolean expressions	76	205	711
Attributes	83	167	1141
Conditionals	55	154	510
Loops	31	73	305
Bank Account simple	57	108	441
Bank Account	57	130	596
Sum Integers	35	126	358
Subtyping	41	117	756
Demo	152	483	1769
<b>Total</b>	<b>587</b>	<b>1563</b>	<b>6587</b>

# Experiments Proof Checker

Isabelle Example	#lines in Isabelle	Simplifier Proof Script (in sec)	Optimized Proof Script (in sec)
Boolean expressions	711	3.4	1.9
Attributes	1141	3.6	2.2
Conditionals	510	7.3	3.8
Loops	305	14.1	3.2
Bank Account simple	441	5.5	2.4
Bank Account	596	12.8	4.6
Sum Integers	358	45.2	6.3
Subtyping	756	4.3	2.3
Demo	1769	92.2	27.5
<b>Total</b>	<b>6587</b>	<b>192.4 (~3')</b>	<b>54.2</b>